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PTO/SB/81 (02-01)

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Application Number	10/071,166
Filing Date	February 8, 2002
First Named Inventor	Richard E. Smalley
Title	Gas-Phase Process for Purifying.
Group Art Unit	1754
Examiner Name	Not yet assigned
Attorney Docket Number	11321-P021US

I hereby appoint:

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Practitioner(s) named below:

Name	Registration Number
Ross Spencer Garsson	38,150
Robert C. Shaddox	34,011
Hugh R. Kress	36,574
Kelly K. Kordzik and James J. Murphy	36,571 and 34,503

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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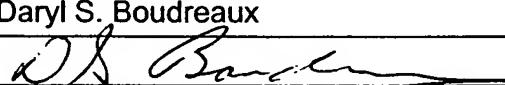
<input checked="" type="checkbox"/> Firm or Individual Name	WINSTEAD SECHREST & MINICK P.C.			
Address	P.O. Box 50784			
Address	1201 Main Street			
City	Dallas	State	Texas	Zip
Country	US			
Telephone	214.745.5400	Fax	214.745.5390	

I am the:

Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

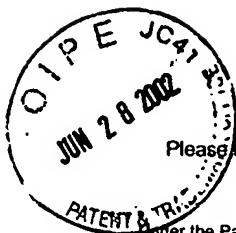
Name	Daryl S. Boudreaux
Signature	
Date	5/6/02

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

Total of 9 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Address	1201 Main Street			
City	Dallas	State	Texas	Zip 75250-0784
Country	US			
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SIGNATURE of Applicant or Assignee of Record

Name	Bob G. Gower
Signature	
Date	5-6-02

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

Total of 9 forms are submitted.

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STATEMENT UNDER 37 CFR 3.73(b)

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Applicant/Patent Owner: Smalley, et al.Application No./Patent No.: 10/071,166Filed/Issue Date: February 8, 2002Entitled: Gas-Phase Process for Purifying Single-Wall Carbon Nanotubes and Compositions ThereofCarbon Nanotechnologies, Inc., a Delaware Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest; or
- an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is 9 %

in the patent application/patent identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

Xuemei
1. From: Xuemei Yang

To: Carbon Nanotechnologies, Inc.

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

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3. From: _____ To: _____

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Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

5-6-02

Date

Bob G. Gower

Typed or printed name

Signature

President and Chief Executive Officer

Title



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STATEMENT UNDER 37 CFR 3.73(b)

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Applicant/Patent Owner: Smalley, et al.Application No./Patent No.: 10/071,166Filed/Issue Date: February 8, 2002Entitled: Gas-Phase Process for Purifying Single-Wall Carbon Nanotubes and Compositions ThereofWilliam Marsh Rice University, a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest; or
- an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is 91 %

in the patent application/patent identified above by virtue of either:

A. [] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Richard E. Smalley To: William Marsh Rice University
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: Robert H. Hauge To: William Marsh Rice University
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: Wan-Ting Chiang To: William Marsh Rice University
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

5/6/02
Date

Daryl S. Boudreaux

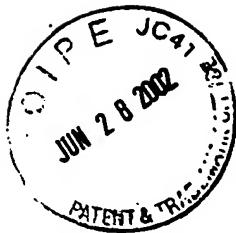
Typed or printed name

Daryl S. Boudreaux

Signature

Director, Office of Technology Transfer

Title



STATEMENT UNDER 37 CFR 3.73(b)
SUPPLEMENT SHEET

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Applicant: Smalley, et al.

Application No: 10/071,166

Filing Date: February 8, 2002

Title: GAS-PHASE PROCESS FOR PURIFYING SINGLE-WALL CARBON NANOTUBES
AND COMPOSITIONS THEREOF

Art Unit: 1754

Assignee: William March Rice University

B. CONTINUED:

4. From Kenneth A. Smith to William March Rice University

A copy of this document is attached.

5. From Wilber Carter Kittrell to William March Rice University

A copy of this document is attached.

6. From: Zhenning Gu to William March Rice University

A copy of this document is attached.

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